

S T A T U T O R Y I N S T R U M E N T S
2017 No. 7.

THE LOTTERIES AND GAMING (LICENSING) REGULATIONS, 2017.

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S T A T U T O R Y I N S T R U M E N T S

2017 No. 7.

The Lotteries and Gaming (Licensing) Regulations, 2017

(Under section 70 of the Lotteries and Gaming Act, 2016, Act No. 7 of 2016)

IN EXERCISE of the powers conferred upon the Minister responsible for finance by section 70 of the Lotteries and Gaming Act, 2016 and on the recommendation of the National Lotteries and Gaming Regulatory Board, these Regulations are made this 27th day of May, 2016.

PART I—PRELIMINARY

1. Title

These Regulations may be cited as the Lotteries and Gaming (Licensing) Regulations, 2017.

2. Interpretation

In these Regulations, unless the context otherwise requires—

“Act” means the Lotteries and Gaming Act, 2016;

“applicant” means a person applying for a licence or registration under the Act;

“Board” means the National Lotteries and Gaming Regulatory Board established by section 2 of the Act;

“licensee” means the holder of a licence.

PART II—LICENSING OF LOTTERIES

National lottery

3. Licence to conduct a national lottery.

A licence to conduct a national lottery under section 23 of the Act shall be issued by the Minister on the recommendation of the Board.

4. Form of application for a licence to conduct a national lottery

(1) An application for a licence to conduct a national lottery shall be made to the Board using **Form 1** in Schedule 1.

(2) The application shall be accompanied with the prescribed fee and shall be made at least three months from the date when the licence is intended to commence.

5. Investigation and inquiry into application

(1) The Board shall upon receipt of the application for a licence to conduct a national lottery process the application by investigating and inquiring into the matters stated in the application.

(2) The Board may require the applicant to give evidence of any statement or matter included in the application

(3) After investigating and inquiring into the matters stated in the application, the Board shall make a recommendation to the Minister in respect of the application indicating whether the applicant is a fit and proper person to conduct a national lottery.

6. Form of licence to conduct a national lottery.

(1) The Minister shall, on being satisfied that the applicant is a fit and proper person to conduct a national lottery, issue to the applicant a licence to conduct a national lottery upon payment of the prescribed fees.

(2) A licence to conduct a national lottery shall be issued subject to the terms and conditions specified in regulation 31.

(3) The Minister may specify other terms and conditions in a licence.

(4) The licence to conduct a national lottery shall be in **Form 2** in Schedule 1.

Public lottery

7. Application for a licence to conduct a public lottery.

(1) An application for a licence to conduct a public lottery shall be made to the Board using **Form 3** in Schedule 1.

(2) The application shall be accompanied with the prescribed fee and shall be made at least three months from the date when the licence is intended to commence.

8. Investigation and inquiry into application.

(1) The Board shall upon receipt of the application for a licence to conduct a public lottery process the application by investigating and inquiring into the matters stated in the application and whether the applicant is a fit and proper person to conduct a public lottery.

(2) The Board may require the applicant to give evidence of any statement or matter included in the application.

(3) After investigating and inquiring into the matters stated in the application, the Board may grant or refuse to grant a licence to conduct a public lottery to the applicant.

9. Form of licence to conduct a public lottery.

(1) A licence to conduct a public lottery shall be in **Form 4** in Schedule 1 and shall be issued upon payment of the prescribed fees.

(2) A licence to conduct a public lottery shall be issued subject to the terms and conditions specified in regulation 31.

(3) The Board may specify other terms and conditions in a licence.

PART III—CASINO OPERATING LICENCE

10. Application for casino licence.

(1) An application to establish or operate a casino shall be made to the Board in **Form 5** in Schedule 1.

(2) The application shall be accompanied by the prescribed fees and shall specify the premises in respect of which the application is made.

11. Matters to be considered by Board in determining application.

(1) The Board shall not grant an application for a casino licence unless the Board is satisfied that the applicant and each person concerned or associated with the management and operation of a casino is a fit and proper person.

(2) For purposes of determining whether a person is a fit and proper person under subregulation (1), the Board shall have regard to the following—

- (a) the reputation of the person including the character, honesty and integrity of the person;
- (b) the financial status of the person;
- (c) the proposed ownership or corporate structure, in the case of an applicant that is not a natural person;
- (d) whether the applicant has or is able to obtain financial resources that are adequate to ensure the financial viability of the proposed casino and the services of persons who have sufficient experience in the management and operations of a casino;
- (e) whether the applicant has sufficient business ability to establish and maintain a successful casino;
- (f) whether any of those persons has any business association with any person, body or association who or which, in the opinion of the Board, is not of good repute having regard to character, honesty and integrity or has undesirable or unsatisfactory financial resources;
- (g) whether each director, partner, trustee, executive officer and secretary and any other officer or person determined by the Board to be associated or connected with the ownership, administration or management of the operations or business of the applicant is a suitable person to act in that capacity;
- (h) whether any person proposed to be engaged or appointed to manage or operate the casino is a suitable person to act in that capacity;

- (i) whether the proposed location of the casino and the premises are suitable and have the capacity to accommodate at least ten gaming tables and thirty slot machines and enough parking;
- (j) whether the applicant is a suitable person to develop, maintain and promote the casino as a compelling tourist destination which meets prevailing market demand and industry standards and contributes to the tourism industry in Uganda; and
- (k) any other matter as the Board may determine.

12. Advertising of application.

(1) The Board shall within seven days after receipt of an application to establish or operate a casino, publish a notice in at least one newspaper of wide circulation within the area where the premises of the applicant are proposed to be located.

(2) The Board shall invite the public to make representations within seven days relating to the application and the applicant.

(3) The applicant for a licence shall pay for the notice referred to in sub regulation (1).

(4) The notice referred to in subregulation (1) shall be in **Form 10** in Schedule 1 and shall state—

- (a) the name of the applicant;
- (b) the names of all the shareholders, if the applicant is a company;
- (c) the address and the premises from which the applicant intends to operate;
- (d) the address to which objections or comments on the application may be sent;

- (e) the closing date for the submission of receiving objections or comments; and
- (f) the address at which the application may be inspected.

13. Objections and comments.

(1) Any person wishing to object to or comment on an application submitted to the Board shall do so in writing within the seven days specified in the notice.

(2) The objection or comments shall be in writing and shall specify—

- (a) the application to which the objection relates;
- (b) the grounds on which the objection is founded;
- (c) the full particulars and facts to substantiate the comment, in the case of a comment; and
- (d) the name, address and telephone number of the person submitting the objection or comment.

(3) On receipt of an objection or adverse comment to an application, the Board shall submit the objection or adverse comment to the applicant, who may reply to it in writing within seven days from the date of submission by the Board.

14. Investigation of application

(1) For the purposes of investigating and inquiring into the application to establish or operate a casino, the Board shall conduct all investigations and inquiries as it considers necessary to enable it to consider the application properly.

(2) The Board may—

- (a) require any person being investigated in relation to the person's suitability to be concerned in or associated with the management or operations of a casino to consent to having his photograph, finger prints or palm prints taken; and
- (b) send a copy of the application and of any such photograph, finger prints and palm prints taken under paragraph (a) and any supporting documentation to the Inspector General of Police, but the information or other matters collected under this regulation shall be maintained as confidential information, not to be used for any other purpose than the purpose provided in this regulation.

(3) The Board may refuse to consider an application for a casino licence if any person from whom it requires a photograph, finger prints or palm prints under this regulation refuses to provide a photograph, finger prints or palm prints.

15. Requiring further information from applicant.

(1) The Board may, by notice in writing, require an applicant for a licence to establish or operate casino or a person whose association with the applicant is, in the opinion of the Board, relevant to the application to do any one or more of the following—

- (a) to provide, in accordance the notice, any information that is relevant to the investigation of the application and is specified in the notice;
- (b) to produce, in accordance the notice, any records relevant to the investigation of the application that are specified in the notice and to permit examination of the records, the taking of extracts from them and the making of copies of them;
- (c) to furnish to the Board any authorisations and consents from other persons that the Board directs for the purpose of enabling the Board to obtain information, including financial and other information concerning the person and his or her associates.

(2) Where the notice or request made under this regulation is not complied with, the Board may refuse to consider the application concerned.

16. Grant or refusal of licence to establish or operate a casino.

(1) The Board shall determine an application for a licence to establish or operate a casino within the time specified in section 42 (3) of the Act and shall notify the applicant in writing of its decision to grant with a request to pay a prescribed fee or refuse to grant a licence.

(2) A licence to establish or operate a casino shall be in Form 6 in Schedule 1 and is granted subject to the terms and conditions specified in regulation 31.

PART IV—GAMING AND BETTING LICENCES

17. Pool betting operating licence or bingo operating licence.

(1) An application for a pool betting operating licence or to operate or provide facilities for playing bingo shall be made in duplicate to the Board in Form 7 in Schedule 1.

(2) The application shall be accompanied with the prescribed fee.

18. Premises used for gaming, betting pool betting and bingo.

(1) The Board shall before issuing a licence in respect of gaming, betting, pool betting or bingo determine the suitability of the premises in which the applicant proposes to operate the gaming, betting, pool betting or bingo.

(2) For the purposes of sub regulation (1), the premises proposed to be used for gaming, betting, pool betting or bingo by the applicant shall conform to the following requirements—

(a) the premises shall be situated within a city, municipality or town;

(b) the premises shall be of minimum size of thirty square meters;

- (c) the premises shall be of a permanent structure;
- (d) the premises shall away from a school, a hospital, a market, a place of worship or government offices;
- (e) the premises shall have accessible facilities of convenience and a common area for clients;
- (f) the premises shall have a strong-room for purposes of securing monies collected; and
- (g) the premises shall have a notice board placed in a conspicuous place for the display of licences, government notices, the rules of games promoted, telephone numbers of the Board, disclaimers regarding the effects of gambling and any other matter required to be displayed.

19. Application for gaming or betting licence.

(1) An application for a licence to provide facilities for betting other than pool betting or general betting operating licence shall be made in duplicate to the Board in the **Form 8** in Schedule 1.

(2) The application shall be accompanied by the prescribed fee and shall be made at least two months from the date when the licence is intended to commence.

(3) An application for a licence to provide facilities for betting other than pool betting or general betting operating licence, shall, at a minimum, contain comprehensive information in respect of—

- (a) the financial strength of the applicant and the source of funding;
- (b) the management expertise of the applicant, with reference to—
 - (i) the quality, ability, operating history, applicable experience and adaptability of the applicant; and

- (ii) all jurisdictions in which the applicant has been or is licensed;
- (c) the location of the proposed premises to which the licence applies, with reference to the location, prominence and visibility of the site; and
- (d) provision for the training of employees at various levels of employment.

20. Betting intermediary operating licence.

(1) An application for a betting intermediary operating licence shall be made to the Board in Form 9 in Schedule 1.

(2) The application shall be accompanied with the prescribed fee.

Processing application for gaming or betting licence

21. Advertising of application.

(1) The Board shall within seven days after receipt of an application for a gaming or betting licence under this Part publish a notice in at least one newspaper of wide circulation within the area where the premises of the applicant are located, inviting the public to make representations within fourteen days relating to the application or the applicant.

(2) The applicant for a gaming or betting licence shall pay for the notice referred to in sub regulation (1).

(3) The notice referred to in subregulation (1), shall be in Form 10 in Schedule 1 and shall state—

- (a) the name of the applicant;
- (b) if the applicant is a company, the names of all the share holders;
- (c) the type of gaming or betting licence applied for;

- (d) the address and the premises from which the applicant intends to operate;
- (e) the address to which objections or comments on the application may be sent;
- (f) the closing date for the submission of receiving objections or comments; and
- (g) the address at which the application may be inspected.

22. Objections and comments.

(1) Any person wishing to object to or comment on an application submitted to the Board shall do so in writing within the seven days specified in the notice.

(2) The objection or comments shall be in writing and shall specify—

- (a) the application to which the objection relates;
- (b) the grounds on which the objection is founded, in the case of an objection;
- (c) the full particulars and facts to substantiate the comment, in the case of a comment; and
- (d) the name, address and telephone number of the person submitting the objection or comment.
- (e) on receipt of an objection to or adverse comment on an application, the Board shall submit the objection or adverse comment to the applicant, who may reply to it in writing within seven days from the date of submission by the Board.

23. Processing application for gaming or betting licence.

(1) The Board shall investigate and inquire into the matters stated in the application taking into account the matters specified in the Act or these Regulations and any objections or comments made in respect of the application.

(2) The Board shall after considering the application determine whether it rejects or grants the licence to the applicant.

(3) Where the Board is not satisfied with the application for a licence, the Board may—

- (a) reject the application giving reasons and notify the applicant accordingly within twenty-one days of the decision to reject the application; or
- (b) make comments and recommendations thereon and return it to the applicant.

(4) An applicant to whom the application is returned under sub regulation (3) (b), may resubmit a revised application within seven days from the date of notification.

24. Grant of licence.

(1) The Board shall issue to the applicant a licence to provide gaming or facilities for betting other than pool betting or general betting operating licence, where the Board is satisfied that the applicant is a fit and proper person to hold a gaming or betting license, subject to terms and conditions specified in the licence and upon payment of the prescribed fee.

(2) The Form of a licence to provide facilities for betting other than pool betting or general betting operating licence shall be in Form 11 in Schedule 1.

PART V—GAMING OR BETTING MACHINE LICENCE

25. Application to manufacture, supply or install gaming or betting machines.

(1) For the purposes of sections 27 (2)(f), (g) and (h) of the Act, a supplier, manufacturer or installing licence is required by every person who—

- (a) distributes or supplies any gaming device for use in Uganda;
- (b) manufactures, maintains, alters, repairs or modifies a gaming or betting device;
- (c) installs or adapts a gambling or betting software; or
- (d) makes gaming or betting machines available for use in a gaming or betting centre or casino.

(2) An application to manufacture or supply gaming machines, make gaming or betting machines available for use in a gaming or betting centre or to adapt, repair or maintain a gaming or betting machine shall be in Form 12 in Schedule 1.

(3) The application shall be accompanied with the prescribed fee.

(4) The form of a licence to provide a gaming or betting machine technical operating licence shall be in Form 11 in Schedule 1.

PART VI—LICENSING OF EMPLOYEES OF CASINO OR OTHER GAMING AND BETTING FACILITY

26. Definition of casino or other gaming and betting facility employee.

For the purposes of the Act and these Regulations, an employee of a casino or other gaming or betting facility means an individual who is employed as a chief executive, chief of operations, chief of accounts, manager of premises or gaming floor manager for the case of casinos.

27. Application to licence person employed in a casino or gaming facility.

(1) For the purposes of sections 27(3) and 31 of the Act, an application for a licence for a person proposed to be employed in a casino or other gaming or betting facility shall be in Form 13 in Schedule 1.

(2) The application shall be accompanied with—

- (a) proof of relevant experience;

- (b) the relevant academic documents;
- (c) prescribed fees;
- (d) a certificate of no criminal record issued by a competent authority;
- (e) a statement of by the casino operator or gaming or betting operator who employs or is proposing to employ the applicant as to the competence of the applicant to discharge the duties allocated or proposed to be allocated to the applicant.

28. Investigation and determination of application.

The Board shall investigate and consider each application for a licence for a person employed in a casino or betting facility, taking into account any submissions made by the applicant within the time allowed, and shall make an assessment of—

- (a) the integrity, responsibility, personal background and financial stability of the applicant;
- (b) the general reputation of the applicant having regard to character, honesty and integrity;
- (c) the suitability of the applicant to perform the type of work proposed to be performed by the applicant as a licensee; and
- (d) any other matter relevant to the application.

29. Form of licence for person employed in casino, gaming or betting facility.

(1) A licence for a person employed in a casino, gaming or betting facility shall be in Form 14 in Schedule 1.

(2) The licence under sub regulation (1), shall be issued upon payment of the prescribed fee.

PART VII—SECURITY BOND

30. Security bond.

(1) Subject to sub regulation (2), in accordance with section 40 of the Act, an applicant whose application for a licence has been approved by the Board shall take out and maintain a bank guarantee in favour of the Board with a financial institution licensed by the Central Bank.

(2) This section does not apply to a licence for a person employed in a casino, gaming or betting facility.

(3) For the purposes of this regulation, the Board shall before issuing a licence to any applicant whose application has been approved require the applicant to provide proof of the bank guarantee required under sub regulation (1).

(4) The bank guarantee shall be in the amount specified in Schedule 2.

PART VIII—GENERAL PROVISIONS ON LICENSING

31. Terms and conditions of every licence.

There is implied in every licence granted the Act and these Regulations that—

- (a) the Uganda Revenue Authority and other Government Agencies shall be allowed to access the premises of a licensee for auditing and monitoring purposes;
- (b) the licence shall not be used for a purpose other than that for which it is issued;
- (c) the licence shall not be transferable;
- (d) the license is specific to the premises specified in the licence;
- (e) the licensee shall, within fourteen days after making any change in the management structure of the licensee, notify the Board of the change;

- (f) the licensee shall verify the age of every person accessing the premises of the licensee to engage in the activities offered by that licensee;
- (g) in the case of a licence relating to a person employed in a casino, gaming or betting facility, the licensed person shall display identification at all times while on duty;
- (h) in the case of a license relating to sports betting premises, to operate and be open within the hours specified by law.

32. Amendment of conditions in licence.

(1) The conditions of a licence issued under the Act and these Regulations, may be amended by the Board in accordance with this Regulation.

(2) An amendment may be proposed by —

- (a) the holder of a licence requesting the Board in writing to make the amendment; or
- (b) the Board giving notice in writing of the proposed amendment to the licence holder.

(3) The Board shall allow the licence holder such period as it may specify to make submissions to the Board concerning any proposed amendment and shall consider the submissions made.

(4) The Board shall then decide whether to make the proposed amendment, with or without changes from that originally proposed, and shall notify the licence holder its decision.

(5) Any amendment that the Board decides upon takes effect when notice of the decision is given to the licence holder or on any later date that may be specified in the notice.

(6) For the purposes of this Regulation, “amendment” includes the variation or revocation of any condition or the addition of a new condition.

33. Renewal of a licence.

(1) An application to renew a licence shall be made at least two months before the expiry of the licence.

(2) In considering an application to renew a licence, the Board shall have regard to how the applicant has complied with the terms and conditions of the licence.

34. Public inspection.

All applications for licences shall, be open for inspection by interested persons at the Board's offices, or such other place as the Board may determine, during normal working office hours.

35. Withdrawal of application.

(1) An applicant may at any time prior to the final consideration of an application withdraw the application.

(2) The Board shall not refund the application fee paid by the applicant when the applicant withdraws the application.

36. Display of licence.

In accordance with section 62 of the Act, the holder of any licence issued under the Act and these Regulations, shall prominently display a current copy of the licence issued to it or he or she by the Board on the licensed premises.

37. Revocation of S.I. 191-1, 292-1, 292-3 and 292-4

The following enactments are revoked –

- (a) the National Lotteries (Miscellaneous Provisions) Regulations,
- (b) the Gaming and Pool Betting Control and Taxation) Regulations,
- (c) the Gaming and Pool Betting (Control and Taxation) Order; and
- (d) the Gaming and Pool Betting (Control and Taxation) (Gaming and Pool Bets Tax) Order.

SCHEDULE 1



THE REPUBLIC OF UGANDA

Reg. 4

LOTTERIES AND GAMING ACT, 2016

FORM 1

APPLICATION FOR LICENCE TO CONDUCT A NATIONAL LOTTERY

A—PARTICULARS OF THE APPLICANT

1. Name _____
2. Address _____
3. Nationality _____
4. Country of residence or principal place of business

5. Age of applicant (*where the applicant is an individual*—————)
6. National Identification Number or passport number in case of foreigners

7. Where the applicant is a company shall provide the Training details:

<i>Name of shareholder</i>	<i>Nationality</i>	<i>Age</i>	<i>Address (Physical or Postal)</i>

B—PARTICULARS OF THE LOTTERY

8. Name under which the National Lottery will be conducted:

9. The National Lottery will be conducted at:
Principal place: _____

Plot No. and Street: _____

District _____

10. Describe the proposed National Lottery including the mechanism of the lottery

11. Districts of Uganda where the National Lottery will be conducted:

C- SUITABILITY OF THE APPLICANT

12. Have you or any of the persons named in this application been engaged in any other National Lottery business, or any business involving the operation of a lottery?

Yes _____ No _____

If so, state –

(a) name of business _____

(b) the capacity in which you or the person named in this application was engaged _____

(c) period when the lottery was conducted _____

13. Have you or any of the persons named in this application or any person employed or connected with your business ever been arrested or convicted for any offence or crime, even though subject of a pardon, amnesty, or other similar action.

Yes _____ No _____

14. If yes, give particulars:

15. What do you expect to be the amount of the gross turnover for the first year of the national lottery in respect of which this application is made:

_____UGX

16. Have you applied for/been refused/been granted* any other licence under the Act or the Regulations or in any other jurisdiction:

Yes _____ No _____

If so, give particulars

Date atthis day of20.....

.....
(Signature)

+application should be filled in duplicate

+where the application is made for and on behalf of a partnership or a body corporate it must be signed by a partner or a person authorised to sign for the body corporate, as the case may be.

**Delete as necessary*

FORM 2

THE REPUBLIC OF UGANDA

**LOTTERIES AND GAMING ACT, 2016
LICENCE TO CONDUCT A NATIONAL LOTTERY***(Under section 23 of the Lotteries and Gaming Act, 2016)*

(insert name of applicant) is licensed to conduct a national lottery within Uganda from the _____ day of _____, 20 ____, to the _____ day of _____, 20 ____.

Dated at _____ this _____ day of _____, 20 _____

Minister of Finance, Planning and Economic Development

Reverse side of licence.

1. It is the responsibility of the licensee to ensure that the details entered on the front of this licence are correct.
2. The Minister or Board may execute a detailed licence agreement with the licensee and such licence agreement shall form part of this licence.
3. Before there is a change of ownership of the facility to which this licence relates, this licence must be taken to the minister for cancellation.
4. This licence is valid for Uganda only.
5. This licence must be produced when applying for a renewal.

Reg. 7

FORM 3

THE REPUBLIC OF UGANDA

Reg. 7



LOTTERIES AND GAMING ACT, 2016

APPLICATION FOR LICENCE TO CONDUCT A PUBLIC LOTTERY

A—PARTICULARS OF THE APPLICANT

1. Name _____
2. Address _____
3. Nationality _____
4. Country of residence or principal place of business

5. Age of applicant (*where the applicant is an individual* _____)
6. National Identification Number or passport number in case of foreigners

7. Where the applicant is a company shall provide the following details:

<i>Name of shareholder</i>	<i>Nationality</i>	<i>Age</i>	<i>Address (Physical or Postal)</i>

B—PARTICULARS OF THE LOTTERY

8. Name under which the public lottery will be conducted:

9. The Public Lottery will be conducted at-
Principal place: _____
Plot No. and Street: _____
District _____

10. Describe the proposed public lottery including the mechanism of the lottery

11. Districts of Uganda where the public lottery will be conducted:

12. Describe the purposes for conducting a public lottery:

C- SUITABILITY OF THE APPLICANT

13. Have you or any of the persons named in this application been engaged in any other public lottery business, or any business involving the operation of a lottery?

Yes _____ No _____

If so, state –

(a) name of business _____

(b) the capacity in which you or the person named in this application was engaged _____

(c) period when the lottery was conducted _____

17. Have you or any of the persons named in this application or any person employed or connected with your business ever been arrested or convicted for any offence or crime, even though subject of a pardon, amnesty, or other similar action.

Yes _____ No _____

14. If yes, give particulars:

15. What do you expect to be the amount of the gross turnover for the first six months of the public lottery in respect of which this application is made:

_____ UGX

16. Have you applied for/been refused/been granted* any other licence under the Act or the Regulations or in any other jurisdiction:

Yes _____ No _____

If so, give particulars

Date atthis day of20.....

.....
(Signature)

+application should be filled in duplicate

+where the application is made for and on behalf of a partnership or a body corporate it must be signed by a partner or a person authorised to sign for the body corporate, as the case may be.

**Delete as necessary*

FORM 4

THE REPUBLIC OF UGANDA

LOTTERIES AND GAMING ACT, 2016

LICENCE TO CONDUCT A PUBLIC LOTTERY

(Under section 24 of the Lotteries and Gaming Act, 2016)

_____ *(insert name of applicant)* is licensed to conduct a public lottery within Uganda from the _____ day of _____, 20 ____, to the _____ day of _____, 20 ____.

Dated at _____ this _____ day of _____, 20 ____

Chairperson
National Lotteries and Gaming Regulatory Board

Reverse side of licence.

1. It is the responsibility of the licensee to ensure that the details entered on the front of this licence are correct.
2. The Board may execute a detailed licence agreement with the licensee and such licence agreement shall form part of this licence.
3. Before there is a change of ownership of the facility to which this licence relates, this licence must be taken to the minister for cancellation.
4. This licence is valid for Uganda only.
5. This licence must be produced when applying for a renewal.

FORM 5

Reg. 10

Insert a passport photo.

THE REPUBLIC OF UGANDA

THE LOTTERIES AND GAMING ACT, 2016

APPLICATION FOR A LICENCE TO ESTABLISH OR OPERATE A CASINO

A-PARTICULARS OF THE APPLICANT

1. Name _____
2. Address _____
3. Nationality _____
4. Country of residence or principal place of business _____
5. Age of applicant (*where the applicant is an individual*)

6. National Identification Number or passport number in case of foreigners

7. Where the applicant is a company shall provide the Training details:

<i>Name of shareholder</i>	<i>Nationality</i>	<i>Age</i>	<i>Address (Physical or Postal)</i>

B- PARTICULARS OF THE CASINO

8. Name under which the casino will be operated or established:

9. The casino will be located at-
Principal place: _____

Plot No. and Street: _____

District _____

10. The class of casino games that the licence will relate to:

11. Description of the organisation of premises where the casino will be operated or established. (*attach the plans, diagrams and specifications of the layout of the premises*)

12. List of categories of the machines or devices to be used in a casino: (*attach the diagrams or plan of the layout of the machines at the premises*)

C- SUITABILITY OF THE APPLICANT

13. Have you or any of the persons named in this application been engaged in any other casino business, or any business involving the operation of a gaming or betting?

Yes _____ No _____

If so, state –

(a) name of business _____

(b) the capacity in which you or the persons named in this application was engaged _____

14. Have you or any of the persons named in this application or any person employed or connected with your business ever been arrested or convicted for any offense or crime, even though subject of a pardon, amnesty, or other similar action.

Yes _____ No _____

15. If yes, give particulars:

16. Have you applied for/been refused/been granted* any other licence under the Act or the Regulations or in any other jurisdiction:

Yes _____ No _____

If so, give particulars

Date atthis day of20.....

.....
(Signature)

+where the application is made for and on behalf of a partnership or a body corporate it must be signed by a partner or a person authorised to sign for the body corporate, as the case may be.

**Delete as necessary*

FORM 6

Reg. 16(2)

THE REPUBLIC OF UGANDA

LOTTERIES AND GAMING ACT, 2016

LICENCE TO ESTABLISH OR OPERATE A CASINO

(Under section 28 of the Lotteries and Gaming Act, 2016)

_____ (insert name of applicant) is licensed to establish or operate a casino within Uganda from the _____ day of _____, 20 ____, to the _____ day of _____, 20 ____.

The licensee is authorised to employ _____ (insert the number of employees) as the minimum number of employees.

The class of casino games played in the casino shall be limited to:

Dated at _____ this _____ day of _____, 20 ____

Chairperson
National Lotteries and Gaming Regulatory Board

Reverse side of licence.

- 1. It is the responsibility of the licensee to ensure that the details entered on the front of this licence are correct.*
- 2. The Board may execute a detailed licence agreement with the licensee and such licence agreement shall form part of this licence.*
- 3. Before there is a change of ownership of the facility to which this licence relates, this licence must be taken to the minister for cancellation.*
- 4. This licence is valid for Uganda only.*
- 5. This licence must be produced when applying for a renewal.*

FORM 7

THE REPUBLIC OF UGANDA

LOTTERIES AND GAMING ACT, 2016

APPLICATION POOL BETTING OPERATING LICENCE OR BINGO OPERATING LICENCE

Reg. 17

Insert a passport photo.

A-PARTICULARS OF THE APPLICANT

1. Name _____
2. Address _____
3. Nationality _____
4. Country of residence or principal place of business _____
5. Age of applicant (where the applicant is an individual) _____
6. National Identification Number or passport number in case of foreigners

7. Where the applicant is a company shall provide the following details:

<i>Name of shareholder</i>	<i>Nationality</i>	<i>Age</i>	<i>Address (Physical or Postal)</i>

B- PARTICULARS OF THE POOL BETTING FACILITIES OR BINGO OPERATING FACILITIES

8. Name under which the pool betting or bingo playing facility will be operated or established:

9. The pool betting or bingo playing facility will be located at
Principal place: _____

Plot No. and Street: _____

District _____

10. Description of the organisation and layout of premises where the pool betting or bingo playing facility will be operated or established. (attach the plans, diagrams and specifications of the layout of the premises)

11. List of categories and types of the machines or devices to be used in pool betting or bingo playing facility: (attach the diagrams or plan of the layout of the machines at the premises)

C- SUITABILITY OF THE APPLICANT

12. Have you or any of the persons named in this application been engaged in any other pool betting or gaming business, or any business involving the operation of gaming or betting?

Yes _____ No _____

If so, state –

(c) name of business _____

(d) the capacity in which you or the person named in this application was engaged _____

13. Have you or any of the person named in this application or any person employed or connected with your business ever been arrested or convicted for any offense or crime, even though subject of a pardoner, amnesty, or other similar action.

Yes _____ No _____

14. If yes, give particulars:

15. Have you applied for/been refused/been granted* any other licence under the Act or the Regulations or in any other jurisdiction:

Yes _____ No _____

If so, give particulars

Date atthis day of20.....

.....
(Signature)

+where the application is made for and on behalf of a partnership or a body corporate it must be signed by a partner or a person authorised to sign for the body corporate, as the case may be.

**Delete as necessary*

FORM 8

Reg. 19

*Insert a pass
port photo.*

THE REPUBLIC OF UGANDA

LOTTERIES AND GAMING ACT, 2016

APPLICATION FOR BETTING LICENCE

A-PARTICULARS OF THE APPLICANT

1. Name _____
2. Address _____
3. Nationality _____
4. Country of residence or principal place of business _____
5. Age of applicant (where the applicant is an individual) _____
6. The National Identification Number or passport number in case of foreigners _____
7. Where the applicant is a company shall provide the following details:

<i>Name of shareholder</i>	<i>Nationality</i>	<i>Age</i>	<i>Address (Physical or Postal)</i>

B- PARTICULARS OF BETTING FACILITY

8. Name under which a gaming or betting facility will be operated or established:

9. The gaming or betting facility will be located at-
Principal place: _____
Plot No. and Street: _____
District _____

10. Description of the organisation and layout of premises where a gaming or betting facility will be operated or established. (attach the plans, diagrams and specifications of the layout of the premises)

11. List of categories and types of the machines or devices to be used in a gaming or betting facility: (attach the diagrams or plan of the layout of the machines at the premises)

C- SUITABILITY OF THE APPLICANT

12. Have you or any of the persons named in this application been engaged in any other betting or gaming business, or any business involving the operation of gaming or betting?

Yes _____ No _____

If so, state –

(a) name of business _____

(b) the capacity in which you or the person named in this application was engaged _____

13. Have you or any of the person named in this application or any person employed or connected with your business ever been arrested or convicted for any offense or crime, even though subject of a pardon, amnesty, or other similar action.

Yes _____ No _____

14. If yes, give particulars:

15. Have you applied for/been refused/been granted* any other licence under the Act or the Regulations or in any other jurisdiction:

Yes _____ No _____

If so, give particulars

Date atthis day of20.....

.....
(Signature)

+where the application is made for and on behalf of a partnership or a body corporate it must be signed by a partner or a person authorised to sign for the body corporate, as the case may be.

**Delete as necessary*

THE REPUBLIC OF UGANDA

LOTTERIES AND GAMING ACT, 2016

APPLICATION FOR BETTING INTERMEDIARY OPERATING LICENCE

A-PARTICULARS OF THE APPLICANT

1. Name _____
2. Address _____
3. Nationality _____
4. Country of residence or principal place of business _____
5. Age of applicant (where the applicant is an individual) _____
6. The National Identification Number or passport number in case of foreigners _____
7. Where the applicant is a company shall provide the following details:

<i>Name of shareholder</i>	<i>Nationality</i>	<i>Age</i>	<i>Address (Physical or Postal)</i>

B- PARTICULARS OF BETTING FACILITY

8. Name under which a betting intermediary facility will be operated or established:

9. The betting intermediary facility will be located at-
Principal place: _____

Plot No. and Street: _____

District _____

10. Description of the organisation and layout of premises where a betting intermediary facility will be operated or established. (attach the plans, diagrams and specifications of the layout of the premises)

11. List of categories and types of the machines or devices to be used in a betting intermediary facility: (attach the diagrams or plan of the layout of the machines at the premises)

C- SUITABILITY OF THE APPLICANT

12. Have you or any of the persons named in this application been engaged in any other betting or gaming business, or any business involving the operation of gaming or betting?

Yes _____ No _____

If so, state –

(a) name of business _____

(b) the capacity in which you or the person named in this application was engaged _____

13. Have you or any of the person named in this application or any person employed or connected with your business ever been arrested or convicted for any offense or crime, even though subject of a pardoner, amnesty, or other similar action.

Yes _____ No _____

14. If yes, give particulars:

15. Have you applied for/been refused/been granted* any other licence under the Act or the Regulations or in any other jurisdiction:

Yes _____ No _____

If so, give particulars

Date atthis day of20.....

.....

(Signature)

+where the application is made for and on behalf of a partnership or a body corporate it must be signed by a partner or a person authorised to sign for the body corporate, as the case may be.

**Delete as necessary*

FORM 10

Reg. 12 and 21

THE REPUBLIC OF UGANDA

LOTTERIES AND GAMING ACT, 2016

NOTICE OF APPLICATION.

TAKE NOTICE that an application for _____
(state full details of the applicant and the address of the applicant) relating to
_____(insert category of the license applied
for) has been lodged with the Board.

The applicant intends to operate his or her or its business at _____

_____(insert the
location and the address where the applicant intends to operate from).

The Board will proceed to consider the application, if no representation or
objection is lodged with the Board within fourteen days from the date of
publication of this notice unless cause be shown to the contrary.

Dated this _____ day of _____, 20 ____

.....
Chairperson
National Lotteries and Gaming Regulatory Board

FORM 11

THE REPUBLIC OF UGANDA

LOTTERIES AND GAMING ACT, 2016

GAMING OR BETTING LICENCE

(Under section 27 of the Lotteries and Gaming Act, 2016)

_____ (*insert name of applicant*) is licensed to provide gaming or facilities for betting other than pool betting or general betting operating licence, a gaming or betting machine technical operating licence, a betting intermediary operating licence*, within Uganda from the _____ day of _____, 20 ____, to the _____ day of _____, 20 ____.

Dated at _____ this _____ day of _____, 20 ____

Chairperson
National Lotteries and Gaming Regulatory Board

**Delete whichever is not applicable*

Reverse side of licence.

- 1. It is the responsibility of the licensee to ensure that the details entered on the front of this licence are correct.*
- 2. The Board may execute a detailed licence agreement with the licensee and such licence agreement shall form part of this licence.*
- 3. Before there is a change of ownership of the facility to which this licence relates, this licence must be taken to the minister for cancellation.*
- 4. This licence is valid for Uganda only.*
- 5. This licence must be produced when applying for a renewal.*

FORM 12

Reg. 25

Insert a passport photo.

THE REPUBLIC OF UGANDA
LOTTERIES AND GAMING ACT, 2016

**APPLICATION TO MANUFACTURE, SUPPLY OR INSTALL
GAMING OR BETTING MACHINES.**

A-PARTICULARS OF THE APPLICANT

1. Name _____
2. Address _____
3. Nationality _____
4. Country of residence or principal place of business _____
5. Age of applicant (where the applicant is an individual) _____
6. National Identification Number or passport number in case of foreigners

7. Where the applicant is a company shall provide the following details:

<i>Name of shareholder</i>	<i>Nationality</i>	<i>Age</i>	<i>Address (Physical or Postal)</i>

B- PARTICULARS OF THE MANUFACTURING, SUPPLYING, REPAIRING OR INSTALLING FACILITIES

8. Name under which the manufacturing, supplying, repairing or installing facility will be operated or established:

9. The manufacturing, supplying, repairing or installing facility will be located at-
Principal place: _____

Plot No. and Street: _____

District _____

10. List of categories and types of the machines or devices to be manufactured, supplied, repaired or installed.

C- SUITABILITY OF THE APPLICANT

11. Have you or any of the persons named in this application been engaged in any other manufacturing, supplying, repairing or installing betting or gaming machines business, or any business involving gaming or betting machines?

Yes _____ No _____

If so, state –

(a) name of business _____

(b) the capacity in which you or the person named in this application was engaged _____

6. Have you or any of the person named in this application or any person employed or connected with your business ever been arrested or convicted for any offense or crime, even though subject of a pardon, amnesty, or other similar action.

Yes _____ No _____

If yes, give particulars:

12. Have you applied for/been refused/been granted* any other licence under the Act or the Regulations or in any other jurisdiction:

Yes _____ No _____

If so, give particulars

Date atthis day of20.....

.....
(Signature)

+where the application is made for and on behalf of a partnership or a body corporate it must be signed by a partner or a person authorised to sign for the body corporate, as the case may be.

**Delete as necessary*

FORM 13

Reg. 27

THE REPUBLIC OF UGANDA

LOTTERIES AND GAMING ACT, 2016

**APPLICATION TO LICENCE A PERSON EMPLOYED IN A CASINO
OR GAMING FACILITY.**

A-PARTICULARS OF THE APPLICANT

1. Name: _____
2. Date of birth: _____
3. National Identification Number or passport number in case of foreigners

4. Occupation: _____
5. Qualification: _____

(attach the necessary certified documents)

6. Professional membership (if any): _____
(attach a certified proof of membership)
7. Address: _____
 - (i) telephone numbers: _____
 - (ii) email address: _____
 - (iii) postal address: _____

B- PARTICULARS OF THE EMPLOYER

8. Name _____
9. Address _____
10. Nationality _____

11. Country of residence or principal place of business _____
12. Age of applicant (where the applicant is an individual) _____
13. National Identification Number or passport number in case of foreigners

14. Where the applicant is a company shall provide the following details:

<i>Name of shareholder</i>	<i>Nationality</i>	<i>Age</i>	<i>Address (Physical or Postal)</i>

C- PROFESSIONAL CONDUCT

13. Whether the applicant has been engaged in any activity or employment related to casino or gaming facility
Yes _____ No _____
- If so, state –
- (a) name of the activity or employer _____
- (b) the capacity in the applicant was engaged _____
14. Have you or any of the person named in this application or any person employed or connected with your business ever been arrested or convicted for any offense or crime, even though subject of a pardon, amnesty, or other similar action.
Yes _____ No _____
15. If yes, give particulars:

16. Have you applied for/been refused/been granted* any other licence under the Act or the Regulations or in any other jurisdiction:

Yes _____ No _____

If so, give particulars

Date atthis day of20.....

.....
(Signature)

+where the application is made for and on behalf of a partnership or a body corporate it must be signed by a partner or a person authorised to sign for the body corporate, as the case may be.

**Delete as necessary*

THE REPUBLIC OF UGANDA

LOTTERIES AND GAMING ACT, 2016

**A LICENCE FOR A PERSON EMPLOYED IN A CASINO, GAMING
OR BETTING FACILITY**

(Under section 27(3) and 31 of the Lotteries and Gaming Act, 2016)

_____ (insert name of applicant) is licensed to be employed in a casino, gaming or betting facility within Uganda from the _____ day of _____, 20 ____, to the _____ day of _____, 20 ____.

Dated at _____ this _____ day of _____, 20 ____

Chairperson
National Lotteries and Gaming Regulatory Board

Reverse side of licence.

- 1. It is the responsibility of the licensee to ensure that the details entered on the front of this licence are correct.*
- 2. The Board may execute a detailed licence agreement with the licensee and such licence agreement shall form part of this licence.*
- 3. Before there is a change of ownership of the facility to which this licence relates, this licence must be taken to the minister for cancellation.*
- 4. This licence is valid for Uganda only.*
- 5. This licence must be produced when applying for a renewal.*

SCHEDULE 2

Reg. 30

LICENCEES REQUIRED TO PROVIDE A SECURITY BOND UNDER SECTION 40 OF THE ACT

Item	Category or class of licence	Amount of security bond/ bank guarantee in UGX
1.	Betting	500,000,000/=
2.	Slot machines	500,000,000/=
3.	Licence to establish or operate a casino	500,000,000/=
4.	Pool betting operating licence	500,000,000/=
5.	Bingo operating licence	200,000,000/=
6.	Betting intermediary operating licence	200,000,000/=
7.	National lottery or public lottery	500,000,000/=

MATIA KASAIJA (MP)

Minister of Finance, Planning and Economic Development.